



ALBERT-LUDWIGS-
UNIVERSITÄT FREIBURG

Algorithms for Radio Networks

MIMO

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Smart Antennas

802.11n
WLAN Route

► Alternative terms

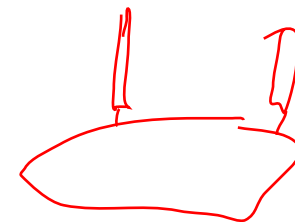
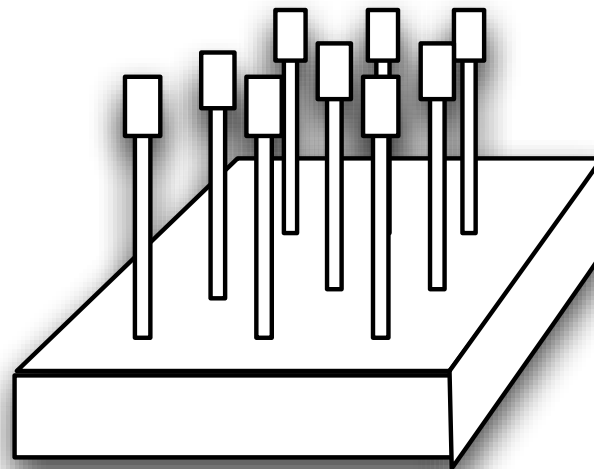
- Adaptive Array Antennas
- Multiple Input Multiple Output (MIMO)

► Prinzip

- Multiple antennas are coordinated manner
 - used to improve reception or transmission of behavior
 - to allow additional features

► Features

- Directional receivers
- Directional senders
 - better path loss exponent
 - spatial multiplexing
 - MIMO communication



DOA Estimation

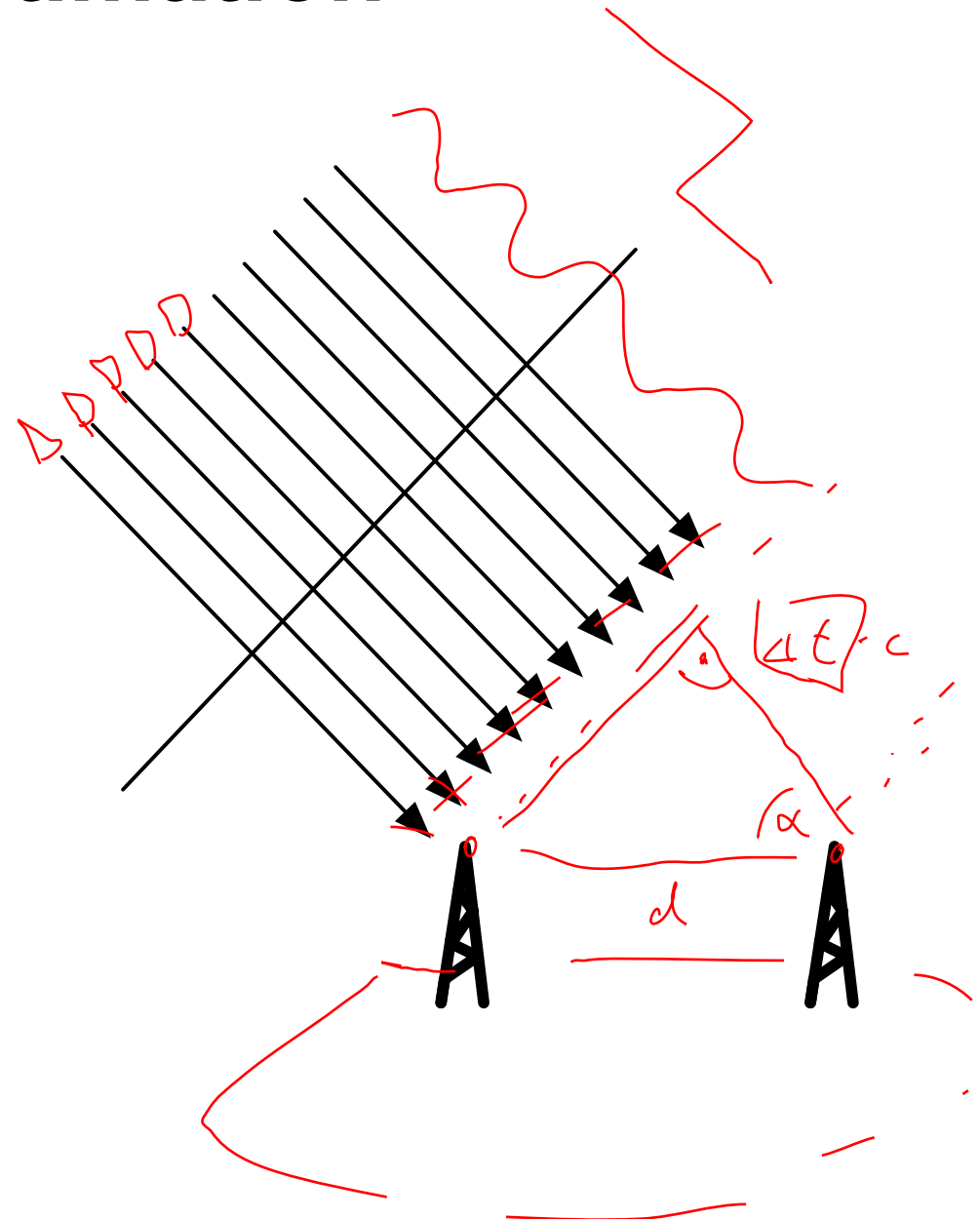
► **With two antennas, one can determine the receive direction (DOA)**

- Paulraj, Roy, Kailath, Estimation of Signal Parameters via Rotational Invariance Techniques- ESPRIT, Nineteenth Asilomar Conference on Circuits, Systems and Computers, 1985, 83- 89

► **Idea:**

- The signals arrive at different times to the antennas. By parallel testing of overlays can be candidates for the angle of incidence findenn

$$\sin \alpha = \frac{4t \cdot c}{d}$$



Beam forming

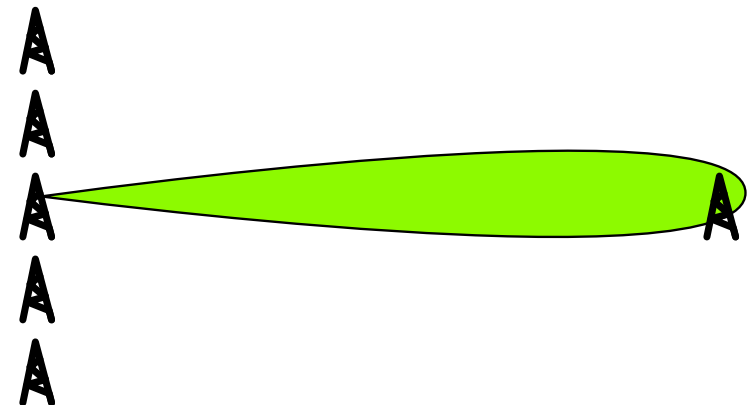
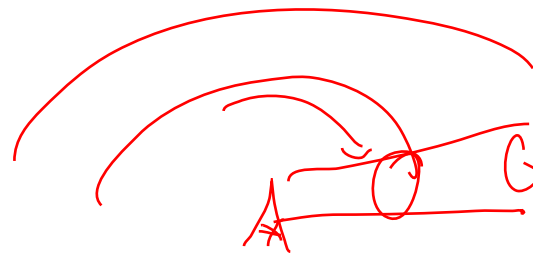
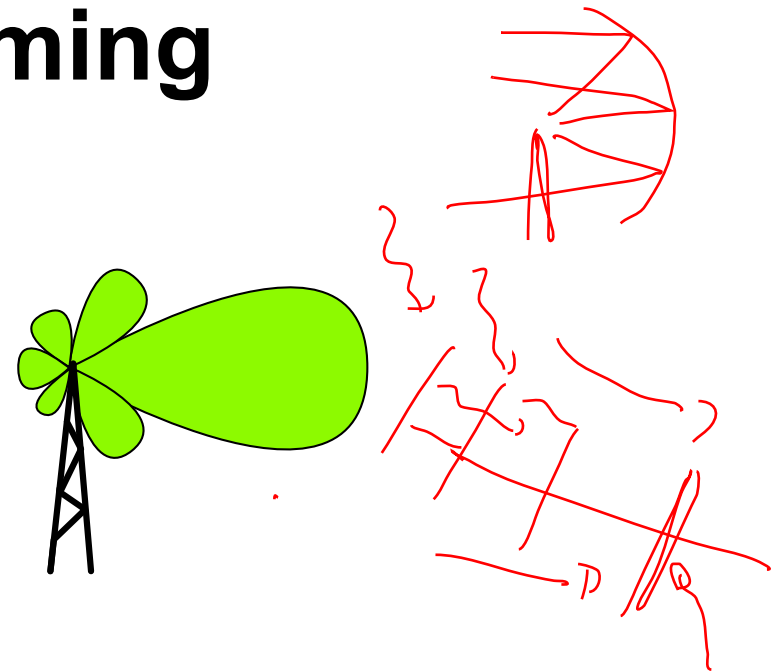
► Simulation of receiving or transmitting antenna behavior of any of Smart Antennas

► Active

- By suitably chosen time shift, receipt of signals at the antennas will transmit the desired direction preference
 - Other directions only increase only background noise
- Applications: radar, mobile communications, MIMO

► Passive

- As with the DOA-detection, the signals are delayed and superimposed
- Applications: Microphones, MIMO



Smart Antennas Combinations

► SISO (Single Input Single Output)

- Classic radio model

► SIMO (Single Input Multiple Output)

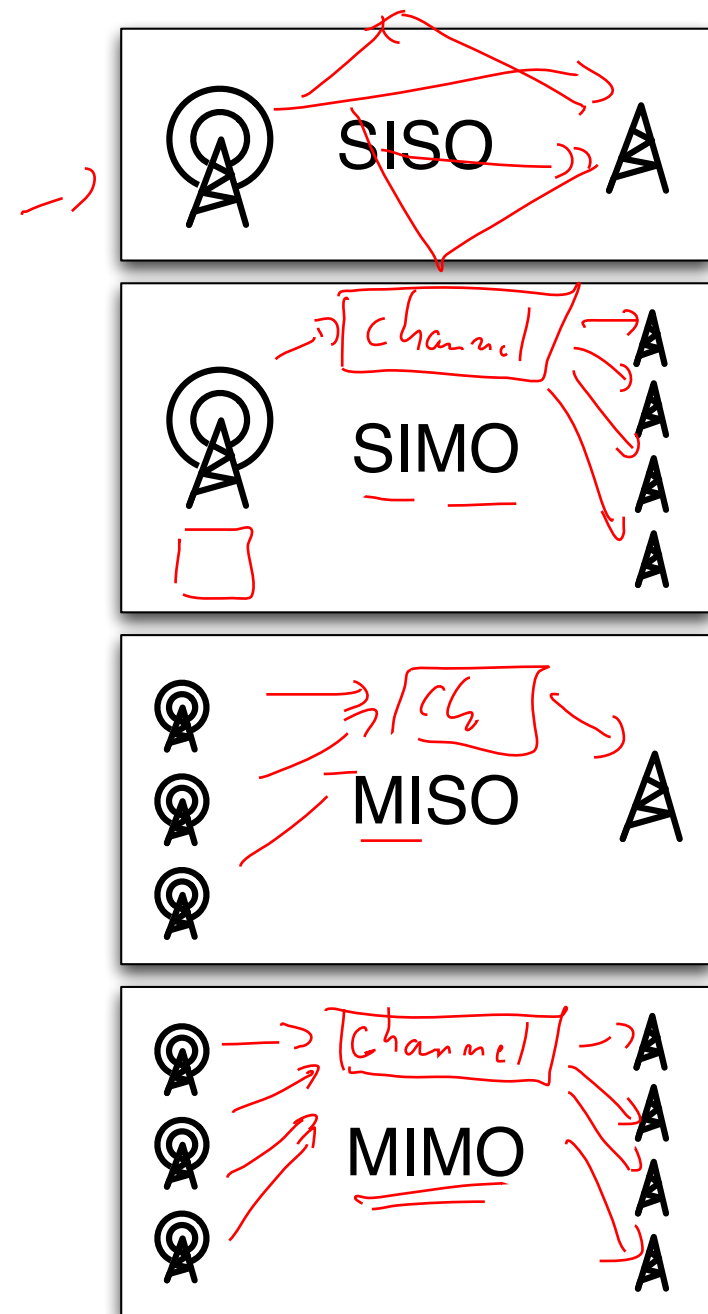
- Classical transmitter with an antenna
- Antenna array at the receiver
- Different channels can be received in parallel from different angles

► MISO (Multiple Input Single Output)

- Antenna array as a transmitter
- Individual recipients (groups) can be individually reached

► MIMO (Multiple Input Multiple Output)

- Directed (and parallel) communication between the transmitter and receiver possible
- Efficient utilization of the medium



Motivation for MIMO

$$SINR = \frac{S}{I+N} > \text{threshold}$$

$$SNR = \frac{S}{N} > \text{threshold}$$

► Increase of **SINR** by

- more sender antennas
- more receiver antennas

► **Multipaths**

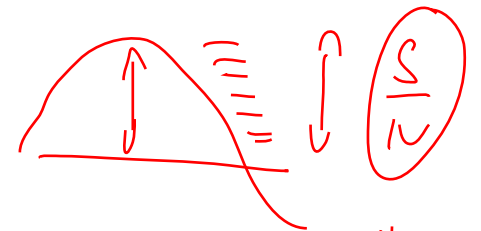
- are used for increasing the channel capacity

► **Capacity**

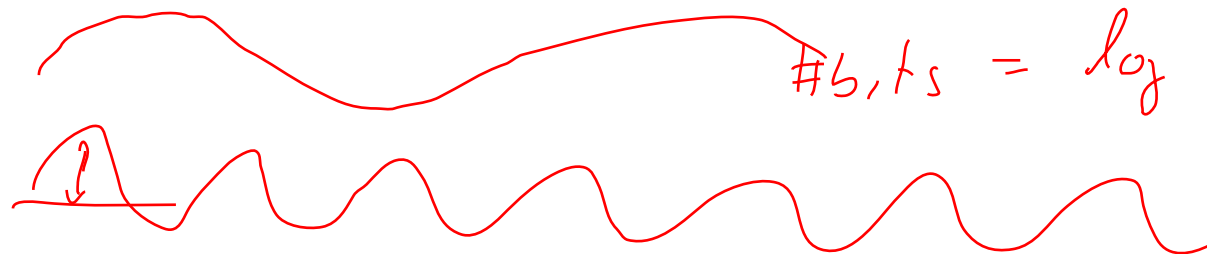
- grows with the complexity of the environment
- with the number of senders and receivers

$$\# \text{ bits} \sim b \cdot \log \left(\frac{S}{N} + 1 \right)$$

Shannon's Theorem



Modulation -



$$\# \text{ bits} = \log \left(\# \text{ signals} \right)$$

" $\frac{S}{N}$

MIMO Free Space Model

$$\underline{x(t)} \cdot e^{i2\pi ft} + \underline{y(t)} \cdot e^{i2\pi ft}$$

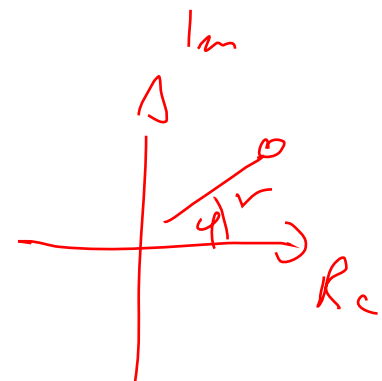
- ▶ The message m is modulated as $x(t)$ over a carrier

- i.e. $s(t) = x(t) \cdot e^{j2\pi ft}$

- ▶ Electric field is described by the signal

- ~ force on charged particles
 - adds up (superposition)
 - decreases proportional to the distance

- ▶ Power is proportional to the square of the electric field

$$x(t) = r \cdot e^{i\varphi}$$


$$\text{SINR} = \frac{\left| \sum_{\text{sender } i} \sum_{\text{receiver } k} s_i \cdot \frac{e^{j|u_i - v_k|}}{|u_i - v_k|} \cdot g_k \right|^2}{\sum_{\text{receiver } k} |g_k|^2 \left(N + \sum_{\text{interference } i} \frac{P'_i}{|w_i - v_k|^2} \right)}$$

MIMO Free-Space SINR

amplitude & phase modification by

sender channel receiver

$$\text{SINR} = \frac{\left| \sum_{\text{sender } i} \sum_{\text{receiver } k} s_i \cdot \frac{e^{j|u_i - v_k|}}{|u_i - v_k|} \cdot g_k \right|^2}{\sum_{\text{receiver } k} |g_k|^2 \left(N + \sum_{\text{interference } i} \frac{P'_i}{|w_i - v_k|^2} \right)}$$

channel matrix

$$\text{SINR} = \frac{|s \cdot H \cdot g|^2}{N' + I}$$

MIMO-SINR = SINR

- SINR model adds the power of interferers

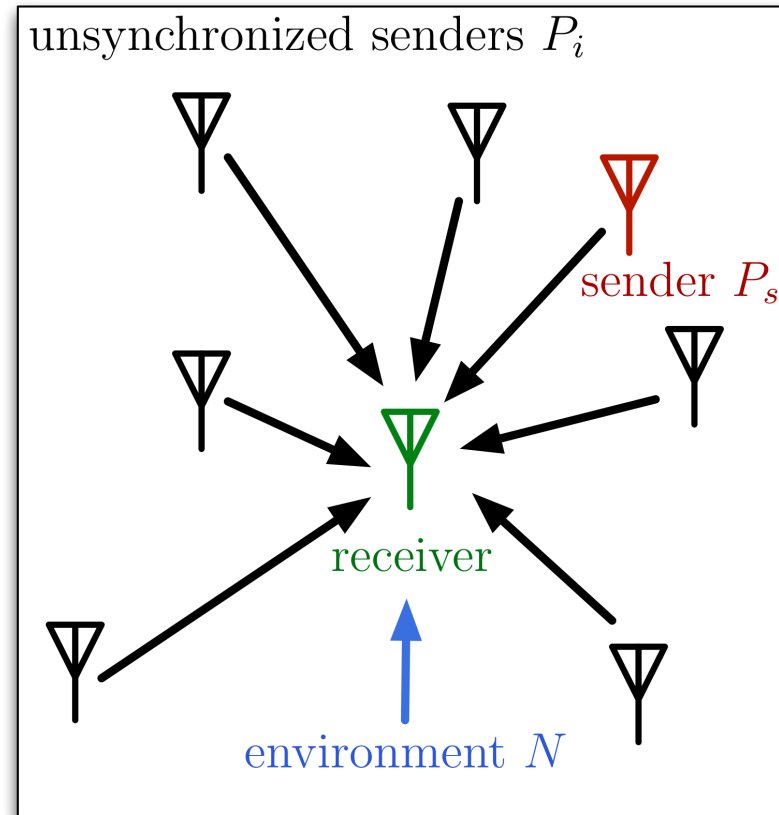
$$\frac{P_s}{N + \sum_{i \neq s} P_i} \geq \beta$$

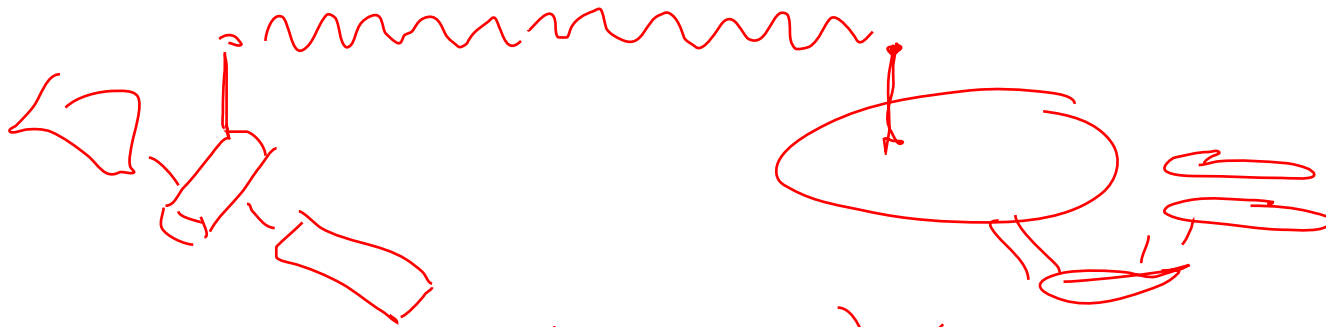
- Superposition of principle (only) for electrical fields

$$P = \left(\sum_i E_i \right)^2$$

- Independent interferences

$$\mathbb{E} \left[\sum_{i \neq s} E_i \right]^2 = \mathbb{E} \left[\sum_{i \neq s} |E_i|^2 \right] = \mathbb{E} \left[\sum_{i \neq s} P_i \right]$$





$$= e^{ix} = 1 + \cancel{ix} - \frac{x^2}{2} - \cancel{\frac{i^3 x^3}{3!}} + \frac{x^4}{4!} \dots$$



$$\cos x = \operatorname{Re} \left(\boxed{e^{i \cdot x}} \right)$$

$$e^x = 1 + x + \frac{x^2}{2} + \frac{x^3}{3!} \dots$$

$$\cos x = 1 - \frac{x^2}{2} + \frac{x^4}{4!} - \frac{x^6}{6!} \dots$$