

Section 12.3 Analysis

Boundedness

Let $N = (P, T, F, V, m_0)$ be a eS-Net, m a marking, $p \in P$.

- Let $k \in \mathbb{N}^+$. p is called *k-bounded*, if for each marking m' there holds:

$$m' \in R_N(m_0) \Rightarrow m'(p) \leq k.$$

- p is called *bounded*, if p k -bounded for some $k \in \mathbb{N}^+$.
- N is called *bounded (k-bounded)*, if each place is bounded (k -bounded).
- A eS-net is called *safe*, if it is 1-bounded. Places of a bounded net may be interpreted as boolean conditions.

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Theorem

Let $N = (P, T, F, V, m_0)$ be a eS-Net. N is *unbounded*, i.e. not bounded, iff there exist $w \in W(T)$, $m, m' \in R_N(m_0)$, such that $m[w \succ m'$ and $m' > m$.

Proof \Leftarrow

Let $w \in W(T)$, $m, m' \in R_N(m_0)$, such that $m[w \succ m'$ and $m' > m$. It holds

$$m[w \succ m'[w \succ m''[w \succ m''' \dots,$$

where $m < m' < m'' < m''' < \dots$

Thus there must exist at least one unbounded place.

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Lemma

For each infinite sequence of markings (m_i) of markings there exists an infinite subsequence (m'_j) , which is weakly monotonic, i.e. $l < k$ implies $m'_l \leq m'_k$.

To prove the Lemma, first extract an infinite subsequence for which weak monotonicity holds for the first components of its markings. Then extract from that subsequence an infinite subsequence for which weak monotonicity holds for the second components of its markings, etc.

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Proof \Rightarrow

- Consider the reachability graph $EG(N)$, which has an infinite number of nodes. Starting from m_0 there exist a directed path to each node of the graph. Because of the finite number of transitions, each node has only a finite number of direct successors.
- Thus, at m_0 there start an infinite number of paths without cycles, however only a finite number of edges. Therefore, one of these edges must be part of infinitely many paths. Let $m_0 \rightarrow m_1$ be one such edge.
- The same argument can be applied w.r.t. m_1 such that we get $m_0 \rightarrow m_1 \rightarrow m_2$, where $m_1 \rightarrow m_2$ is part of an infinite number of paths.
- The above construction can be repeated infinitely many times. Therefore there exists an infinite sequence of markings (m_i) of pairwise distinct markings, such that $m_k, m_l, 0 \leq k \leq l$ implies:

$$m_0[* \succ m_k[* \succ m_l.$$

because of the Lemma there exists an infinite weakly monotonic subsequence (m'_j) von (m_i) . Let m'_1, m'_2 two successive elements. From construction we have $m_0[* \succ m'_1[* \succ m'_2, m'_1 \leq m'_2$ and even $m'_1 < m'_2$.

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Reachability

Let $N = (P, T, F, V, m_0)$ be a eS-Net, $m \in \text{NAT}^{|P|}$ a marking. The decision problem:

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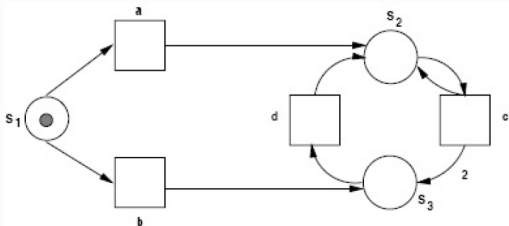
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Let $N = (P, T, F, V, m_0)$ be a eS-Net and let m, m' be markings of N .

- If $m \leq m'$, then m' covers m , respectively, m is covered by m' .
- m is called *coverable* in N , if there exists a reachable marking m' which covers m .

Consequence: Whenever a marking is not coverable w.r.t. some eS-Net N , it is not reachable in N .

Give examples.



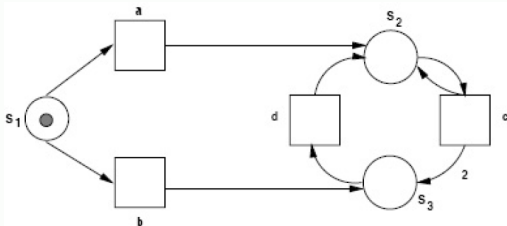
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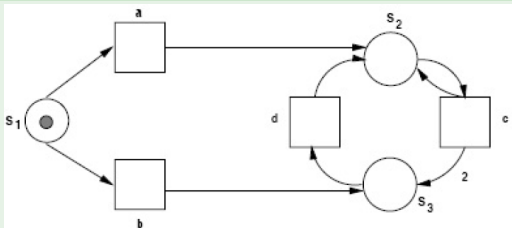
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Coverability Graph

Let $N = (P, T, F, V, m_0)$ a eS-Net. The *Coverability Graph* of N is given by $CG(N) := (R, B)$ as follows:

- *inductive definition of an auxiliary tree $T(N)$:*

The values of the nodes in $T(N)$ are ω -markings of N . The value of the root node r is m_0 . Let m be the value of some node n of $T(N)$, $t \in T$, and $m[t \succ m'$.

- Whenever on the path from the root r to n there exists a node n'' with value m'' such that $m'' < m'$, then update m' by $m'(s) := \omega$ for all places p with $m''(p) < m'(p)$.
 - Introduce a new successor node n' of n with value m' and mark the edge from n to n' by t .
 - If there already exists another node in the tree with the same value m' , node n' is not considered any further.
- A coverability graph is derived from a coverability tree by taking the values of the nodes in the tree as nodes in the graph.

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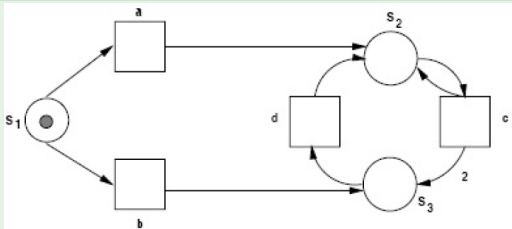
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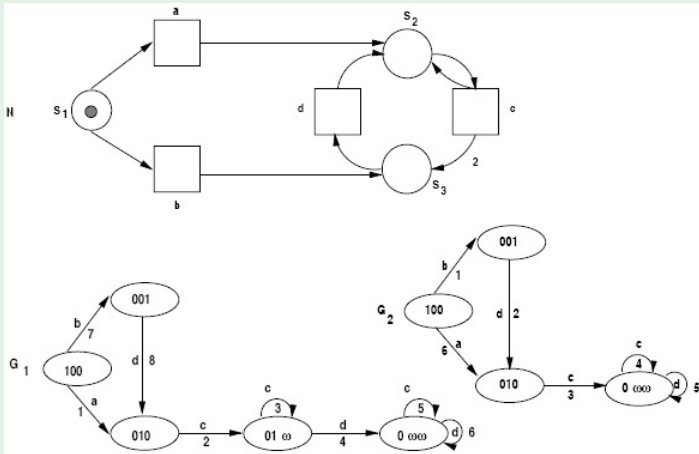
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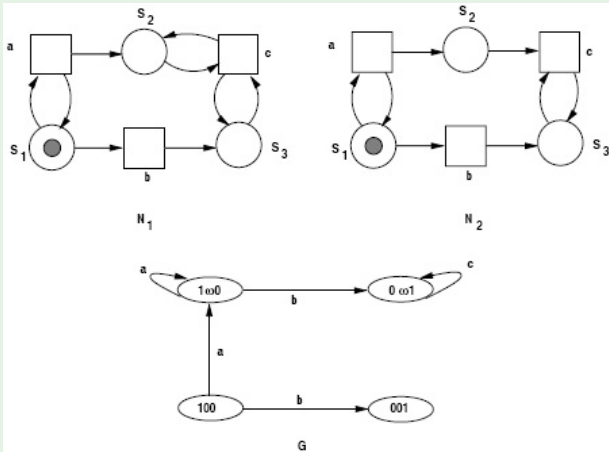
Give a coverability tree.



A eS-net with two different coverability graphs.



Two eS-Nets with identical coverability graphs.



Theorem

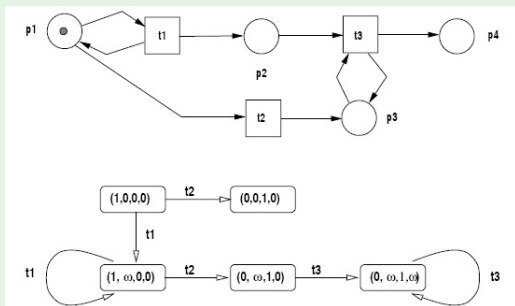
The coverability graph $CG(N) = (R, B)$ of a eS-net N is finite.

Proof:

Assume $CG(N)$ is not finite. Then it contains an infinite number of nodes. Thus there exists an infinite, weakly monotonic sequence of ω -markings, i.e. values of the nodes in the tree. Because of the construction of the auxiliary tree $T(N)$, such an infinite sequence cannot exist, as we can introduce ω only a finite number of times.

To test the reachability of a certain marking we may first test its coverability and then try to find a firing sequence which confirms its reachability.

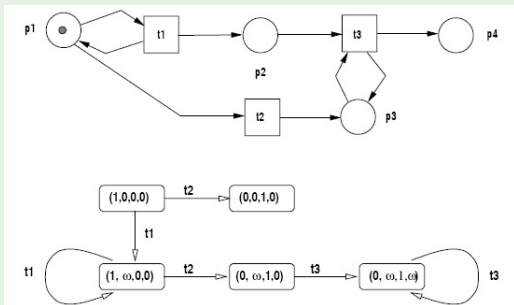
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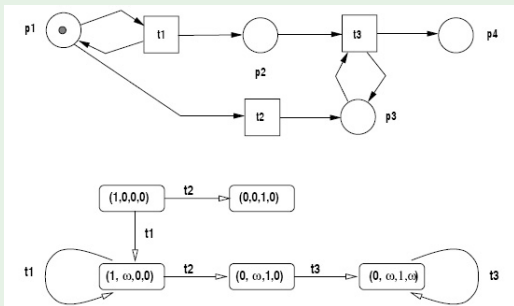
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Live, dead and deadlockfree

Let $N = (P, T, F, V, m_0)$ a eS-Net.

- A marking m is called *dead* in N , if there is no $t \in T$ which is enabled at m .
- A transition t is called *dead* at marking m , if there is no marking reachable from m , such that t is enabled.

If t dead at m_0 , then t is called dead in N .

- A transition t is called *live* at marking m , if for any reachable marking from m it holds that t is not dead.
If $m = m_0$, then t is called *live* in N .
- A marking m is called *live* in N if all transitionen $t \in T$ are *live* in m . If $m = m_0$ then N is called *live*.
- N is called *deadlockfree*, if no dead marking is reachable.

Note: whenever a transition is dead at some m , then it is not live at m .

However, the other direction does not hold.

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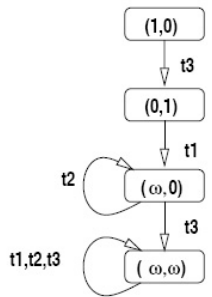
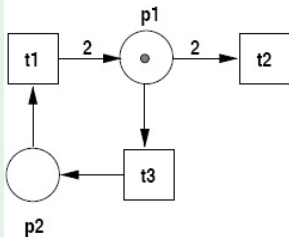
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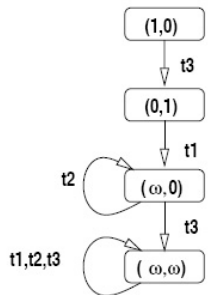
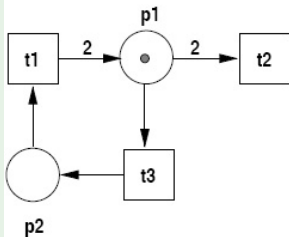
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Lifeness cannot be tested by inspection of the coverability graph.

Do there exist other techniques for analysis?

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Section 12.4 Invariants

Basics

- A Petri-net invariant is a property of a Petri-net, which holds for any marking, respectively transition word, of the net.
- We study place- and transition-invariants, which are based on a matrix representation of a net, respectively vector representation of markings and transitions.

Incidence Matrix

- Let $N = (P, T, F, V, m_0)$ a eS-Net, $T = \{t_1, \dots, t_n\}$, $P = \{p_1, \dots, p_m\}$, $n, m \geq 1$.
- A vector of dimension n (m) is called T - (P -)vector.
- For any $t \in T$, Δt can be represented as a column P -vector.
- The *incidence matrix* of N is given as a $m \times n$ -matrix $C = (\Delta t_1, \dots, \Delta t_n)$, respectively $C = (c_{i,j})_{1 \leq i \leq m, 1 \leq j \leq n}$, where $c_{ij} := \Delta t_j(s_i)$.

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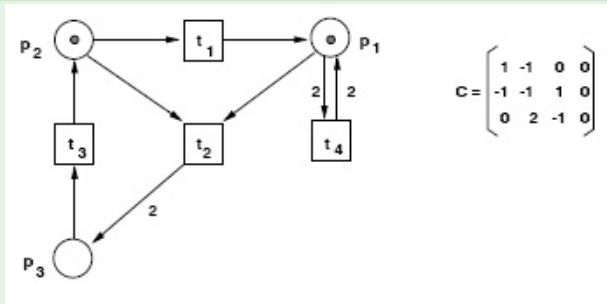
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- A vector of dimension n (m) is called T - (P -)vector.
- For any $t \in T$, Δt can be represented as a column P -vector.
- The *incidence matrix* of N is given as a $m \times n$ -matrix $C = (\Delta t_1, \dots, \Delta t_n)$, respectively $C = (c_{i,j})_{1 \leq i \leq m, 1 \leq j \leq n}$, where $c_{ij} := \Delta t_j(s_i)$.

Example



- Incidence matrices are independent of concrete markings,
- In case of loops, information concerning multiplicities is lost.

Parikh-Vektor

The transpose of a vector x , resp. matrix C is denoted by x^T , bzw. C^T .

The *Parikh-Vektor* \bar{q} of some $q \in W(T)$ is a column T -vector, $n = |T|$, defined as follows:

$\bar{q} : T \rightarrow NAT$, where $\bar{q}(t)$ is the number of occurrences of t in q .

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State Equation

Let $q \in W(T)$ and m, m' markings.

If $m[q \succ m'$, then $\sum_{t \in T} (\bar{q}(t) \cdot \Delta t) = C \cdot \bar{q} = \Delta q$.

Moreover, as $m[q \succ m'$, we have

- $m' = m + \Delta q^\top$.

The equation:

$$m' = m + (C \cdot \bar{q})^\top$$

is called *state equation*.

- The system of linear equations given by

$$C \cdot x = (m' - m)^\top$$

has an integer nonnegative solution x .

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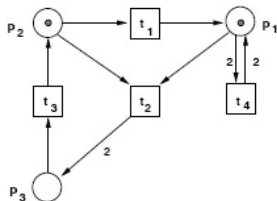
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If $C \cdot x = (m' - m)^T$ has an integer nonnegative solution then

$$\exists q \in W(T) : m[q] \succ m'$$

i.e., the reachability problem cannot be solved, in general.

Example



$$C = \begin{pmatrix} 1 & -1 & 0 & 0 \\ -1 & -1 & 1 & 0 \\ 0 & 2 & -1 & 0 \end{pmatrix}$$

Let $m = (1, 0, 0)$, $m' = (0, 0, 1)$.

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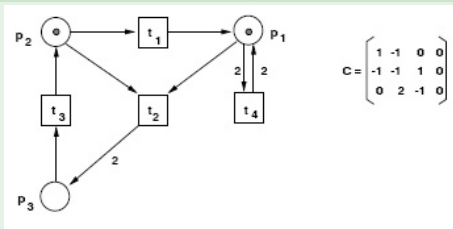
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Theorem

Let N be a eS-Net and Δ a P -vector. There exists a marking m^* and a word $q \in W(\mathcal{T})$, such that $m^* [q \succ (m^* + \Delta)$, iff $C \cdot x = \Delta^\top$ has an integer nonnegative solution.

Proof:

" \Rightarrow ": trivial.

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Corollary

Let $N = (P, T, F, V, m_0)$ be a eS-Net. There exists a marking m^* such that $N = (P, T, F, V, m^*)$ unbounded, iff $C \cdot x > 0$ has an integer nonnegative solution.

Useful application of the corollary:

If there does not exist an integer nonnegative solution for $C \cdot x > 0$, then for any initial marking, N is bounded.

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Transition-Invariants (T-Invariants)

Let $N = (P, T, F, V, m_0)$ be a eS-Net.

- Any nontrivial integer solution x of the homogenous linear equation system $C \cdot x = 0$ is called *transition-invariant (T-invariant)* of N .
- A T-invariant x is called *proper*, if $x \geq 0$.
- A T-invariant x is called *realizable* in N , if there exists a word $q \in W(T)$ with $\bar{q} = x$ and a reachable marking m such that $m[q \succ m$.
- N is called *covered with T-invariants*, if there exists a T-invariant x of N with all components positive, i.e. greater than 0.

Proper T-invariants denote *possible* cycles of the reachability graph - realizable T-invariants denote cycles which indeed may occur.

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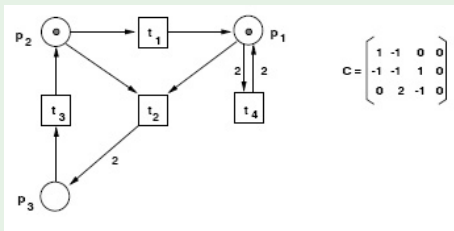
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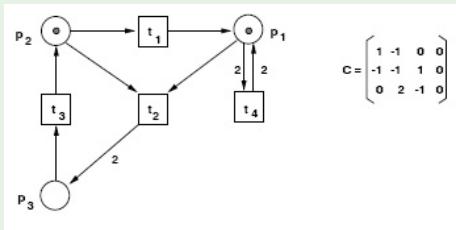
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$$x = \lambda_1 \begin{pmatrix} 1 \\ 1 \\ 2 \\ 0 \end{pmatrix} + \lambda_2 \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

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Theorem

Let $N = (S, T, F, V, m_0)$ be a eS-Net. If there exists a marking m , such that N live and bounded at m , then N covered by T-invariants.

Proof: Let N live and bounded at some m .

As N is live at m , there exists a word $q_1 \in L_N(m)$, which contains all transitions in T and the marking $m + \Delta q_1$ is reachable from m .

Moreover, N is live at $m + \Delta q_1$ as well. Therefore, there exists a word $q_2 \in L_N(m)$, which contains all transitions in T and N is live at the marking $m + \Delta q_1 q_2$.

There exists an infinite sequence of markings (m_i) , where $m_i := m + \Delta q_1 \dots q_i$, such that:

$$m[q_1 \succ m_1[q_2 \succ m_2 \dots m_i[q_{i+1} \succ m_{i+1} \dots$$

As N is bounded at m , there is only a finite number of markings which are reachable.

Therefore, there exist $i, j \in \mathbb{N} : i < j$ such that $m_i = m_j$. Thus

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Useful application of the theorem:

Whenever N is not covered by T-invariants, then for every marking it holds N not live or not bounded.

Place-Invariants (P-Invariants)

Let $N = (P, T, F, V, m_0)$ be a eS-Net.

- Any nontrivial integer solution y of the homogeneous linear equation system $y \cdot C = 0$ is called *place-invariant (P-invariant)* of N .
- A P-invariant y is called *proper P-invariant*, if $y \geq 0$.
- N is called *covered with P-invariants*, if there exists a P-invariant y with all components positive, i.e. greater than 0.

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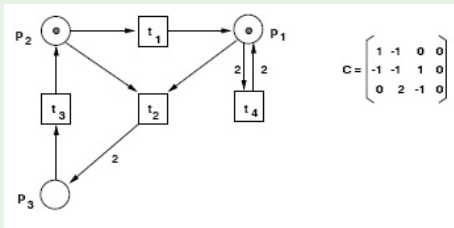
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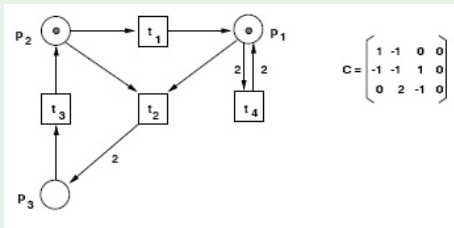
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Theorem

Let $N = (P, T, F, V, m_0)$ a eS-Net and let y a P-invariant of N . Then:

$$m \in R_N(m_0) \Rightarrow y \cdot m^\top = y \cdot m_0^\top.$$

Proof:

Assume $m_0 \xrightarrow{q} m$. Then $m = m_0 + (C \cdot \bar{q})^\top$ and also:

$$\begin{aligned} y \cdot m^\top &= y \cdot m_0^\top + y \cdot (C \cdot \bar{q}) = \\ &= y \cdot m_0^\top + (y \cdot C) \cdot \bar{q} = y \cdot m_0^\top + 0 \cdot \bar{q} = y \cdot m_0^\top. \end{aligned}$$

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Corollary:

- Let y P-invariante of N , m marking.

$$y \cdot m^\top \neq y \cdot m_0^\top \Rightarrow m \notin R_N(m_0).$$

- Let y proper P-invariant of N . Let $p \in P$ such that $y(p) > 0$.

Then, for any initial marking, s is bounded.

$$\text{Proof: } y \cdot m_0^\top = y \cdot m^\top \geq y(p) \cdot m(p) \geq m(p).$$

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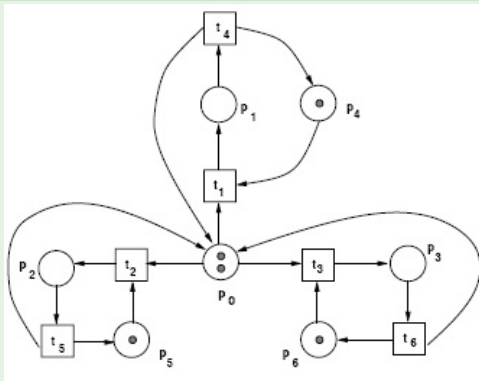
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Example: Prove freedom from deadlocks.



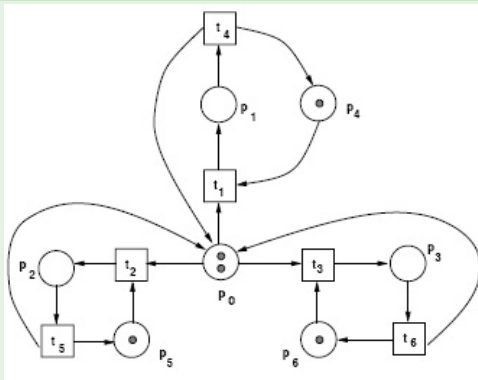
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P-invariants:

$$\begin{aligned} Y_1 &= (0, 1, 0, 0, 1, 0, 0) \\ Y_2 &= (0, 0, 1, 0, 0, 1, 0) \\ Y_3 &= (0, 0, 0, 1, 0, 0, 1) \\ Y_4 &= (1, 1, 1, 1, 0, 0, 0) \end{aligned}$$

Initial marking is given by $m_0 = (2, 0, 0, 0, 1, 1, 1)$. Assume there exist a dead marking m , $m_0 \xrightarrow{q} m$. Then it must hold $m(p_1) = m(p_2) = m(p_3) = 0$. Because of Y_4 it follows $m(p_0) = 2$. As m dead it follows $m(p_4) = m(p_5) = m(p_6) = 0$. However this contradicts $Y_1 m_0 = Y_1 m$.

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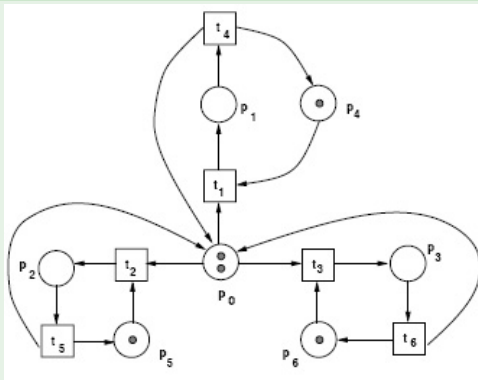
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Initial marking is given by $m_0 = (2, 0, 0, 0, 1, 1, 1)$. Assume there exist a dead marking m , $m_0 \llbracket q \rrbracket m$. Then it must hold $m(p_1) = m(p_2) = m(p_3) = 0$. Because of Y_4 it follows $m(p_0) = 2$. As m dead it follows $m(p_4) = m(p_5) = m(p_6) = 0$. However this contradicts $Y_1 m_0 = Y_1 m$.

Example: Prove freedom from deadlocks.



$$C = \begin{bmatrix} -1 & -1 & -1 & 1 & 1 & 1 \\ 1 & 0 & 0 & -1 & 0 & 0 \\ 0 & 1 & 0 & 0 & -1 & 0 \\ 0 & 0 & 1 & 0 & 0 & -1 \\ -1 & 0 & 0 & 1 & 0 & 0 \\ 0 & -1 & 0 & 0 & 1 & 0 \\ 0 & 0 & -1 & 0 & 0 & 1 \end{bmatrix} \quad \text{P-invariants:}$$

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Section 12.5 Place Capacities

Sometimes when modelling we would like to fix an upper bound for the number of tokens in a place.

- Let $N = (P, T, F, V, m_0)$ be a eS-Net, c a ω -marking of P and let $m_0 \leq c$. (N, c) is called *eS-Net with capacities*. $c(p), p \in P$ is called *capacity* of p .
- For eS-nets with capacities the notion of being enabled is adapted:

a transition $t \in T$ is enabled at marking m , if $t^- \leq m$ and $m + \Delta t \leq c$.

- Capacities graphically are labels of places - no label means capacity ω .

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Any eS-net with capacities can be simulated by a eS-Net without capacities.

Construction

- Let p a place with capacity $k = c(p), k \geq 1$. Let p^{co} be the complementary place of p which is assigned the initial marking $k - m_0(p)$.
- Whenever for a transition t we have $\Delta t(p) > 0$, we introduce an arc from p^{co} to t with multiplicity $\Delta t(p)$;
whenever $\Delta t(p) < 0$, we introduce an arc from t to p^{co} with multiplicity $-\Delta t(p)$.

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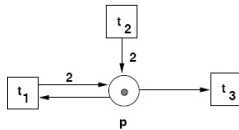
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A eS-Net with capacities and its simulation by a bounded eS-Net.

$N_1(k=4)$:



N_2 :

